

**READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT**

Telephone Number

Name of Person Filing James Warren

File Number U-

B Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from selling or leasing to or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent or (2) any part of which consists of buying from or selling or leasing directly or indirectly to or otherwise dealing with your labor organization or with a trust in which your labor organization is interested

## 8 Name and address of Business (including trade name if any)

Name Palm Springs Riviera

Trade Name if any

P O Box Bldg Room No if any

Street 1600 North Indian Canyon Drive

City Palm Springs

State California ZIP Code + 4 92262-4602

## 9 Business deals with

☒ a Labor Organization☐ b Trust☐ c Employer

## 10 If 9 b or 9 c is checked give trust or employer's name

Name

Trade Name if any

P O Box Bldg Room No if any

Street

City

State ZIP Code + 4

## 11 a Nature of such dealing

The Palm Springs Riviera provides hotel services to the Laborers International Union of North America

## 11 b Approximate dollar value of such dealing

UNKNOWN

## 12 a Nature of interest held or income received

4/2/04 - 4/6/04 Pacific Southwest Regional Conference Cheese fruit basket and refreshments placed in hotel room Amount unknown best estimate \$30

## 12 b Amount

C Received from any employer (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value

## 13 a Name and address of Employer or Labor Relations Consultant (including trade name if any)

Name IL Laborers' &amp; Contractors Joint Apprentice-

Trade Name if any ship &amp; Training Committee

P O Box Bldg Room No if any

Street Rural Route 3

City Mount Sterling

State Illinois ZIP Code + 4 62353-9802

## 14 a Nature of payment

2/19/04 to 2/22/04 Laborers' International Union of North America Leadership 1-Class Lodging and Meals

13 b Is the Business an Employer ☐ or Consultant ☐ ?

## 14 b Amount of payment

\$120

Name of Person Filing James Warren

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Part C Continuation Page

C Received from any employer (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value

13 a Name and address of Employer or Labor Relations Consultant (including trade name if any)

Name New England Laborers Training Fund

Trade Name if any

P O Box Bldg Room No if any

Street 37 East Street

City Hopkinton

State Massachusetts ZIP Code + 4 01748-2683

14 a Nature of payment

August 2004 Laborers' International Union of North America Leadership 1 Class Lodging and Meals for three nights Amount unknown best estimate of total value \$120

13 b Is the Business an Employer ☐ or Consultant ☐ ?

14 b Amount of payment

C Received from any employer (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value

13 a Name and address of Employer or Labor Relations Consultant (including trade name if any)

Name New England Laborers' Training Fund

Trade Name if any

P O Box Bldg Room No if any

Street 37 East Street

City Hopkinton

State Massachusetts ZIP Code + 4 01748-2683

14 a Nature of payment

August 2004 Laborers' International Union of North America Leadership 1 Class Dinner Amount unknown best estimate \$40

13 b Is the Business an Employer ☐ or Consultant ☐ ?

14 b Amount of payment

C Received from any employer (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value

13 a Name and address of Employer or Labor Relations Consultant (including trade name if any)

Name Eastern MO Laborers Training Fund

Trade Name if any

P O Box Bldg Room No if any

Street 35 Opportunity Road

City High Hill

State Missouri ZIP Code + 4 63350 3101

14 a Nature of payment

9/22/04 - 9/23/04 Voices @ Work Train-the-Trainer Lodging and Meals Amount unknown best estimate \$40

13 b Is the Business an Employer ☐ or Consultant ☐ ?

14 b Amount of payment

Name of Person Filing James Warren

File Number U

## Part B Continuation Page

B Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from selling or leasing to or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent or (2) any part of which consists of buying from or selling or leasing directly or indirectly to or otherwise dealing with your labor organization or with a trust in which your labor organization is interested

## 8 Name and address of Business (including trade name if any)

Name Paul Aleman ULLICO

Trade Name if any

P O Box Bldg Room No if any

Street 1625 Eye Street

City Washington

State District of Columbia ZIP Code + 4 20006-4698

## 9 Business deals with

☒ a Labor Organization☐ b Trust☐ c Employer

## 10 If 9 b or 9 c is checked give trust or employer's name

Name

Trade Name if any

P O Box Bldg Room No if any

Street

City

State

ZIP Code + 4

## 11 a Nature of such dealing

The Union Labor Life Insurance Company provides multi-line insurance financial services and administrative products to members of the Laborers' International Union of North America

## 11 b Approximate dollar value of such dealing

UNKNOWN

## 12 a Nature of interest held or income received

April 2004 Pacific Southwest Regional Conference golf outing Amount unknown best estimate \$50

## 12 b Amount

## Part C Continuation Page

C Received from any employer (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value

13 a Name and address of Employer or Labor Relations Consultant (including trade name if any)

Name Laborers -Employers Coop & Education Trust

Trade Name if any

P O Box Bldg Room No if any

Street 905 16th Street N W

City Washington D C

State District of Columbia ZIP Code + 4 20006-1703

14 a Nature of payment.

April 2004 Pacific Southwest Regional Conference Dinner Amount unknown best estimate \$85

13 b Is the Business an Employer ☒ or Consultant ☐ ?

14 b Amount of payment.

C Received from any employer (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value

13 a Name and address of Employer or Labor Relations Consultant (including trade name if any)

Name Mason Tenders District Council Training Fund

Trade Name if any

P O Box Bldg Room No if any

Street 75 Varick Street

City New York

State New York ZIP Code + 4 10013-1917

14 a Nature of payment.

2004 Wages & Benefits of spouse Katherine L Warren Former Employee of Mason Tenders District Council Training Fund (1/1/04 to 9/30/04) Approximate amount \$133,000

13 b Is the Business an Employer ☒ or Consultant ☐ ?

14 b Amount of payment.

C Received from any employer (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value

13 a Name and address of Employer or Labor Relations Consultant (including trade name if any)

Name [Intentionally left blank]

Trade Name if any

P O Box Bldg Room No if any

Street

City

State ZIP Code + 4

14 a Nature of payment

[Intentionally left blank]

13 b Is the Business an Employer ☒ or Consultant ☐ ?

14 b Amount of payment

**Addenda to Form LM-30 Labor Organization Officer and Employee Report**

**JAMES WARREN**

**File Number U -**

**Laborers' International Union of North America, Organization File Number 000 -131**

**Fiscal Year Covered From 1/1/04 through 12/31/04**

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**ADDENDUM A**

It is conceivable that I received the benefit of a meal refreshment or social event from an individual who may be employed by a reportable entity under the Labor-Management Reporting and Disclosure Act, which I did not report because I do not have any records of these encounters and have no specific recollection of any benefits received

**ADDENDUM B**

I am not reporting any benefits that I may have received in 2004 from labor organizations affiliated with the Laborers' International Union of North America ( 'LIUNA ), my employer, or other labor organizations My understanding of guidance received by the AFL-CIO from the Department of Labor is that benefits received from LIUNA-affiliated labor organizations and other labor organizations are not reportable on the LM-30 report, and I am following that guidance

James Warren  
Director of Education  
Laborers International Union of North America  
620 Sunbeam Avenue  
Sacramento, CA 95814



August 15, 2005

U S Department of Labor  
Employee Standards Administration  
Office of Labor-Management Standards  
200 Constitution Avenue, NW  
Room N-5616  
Washington, D C 20210

**Re    Form LM-30 Filing for James Warren, U-**  
**Labor Organization File No 000 - 131**

Dear Sir or Madam

Enclosed is my Labor Organization Officer and Employee Report LM-30 for the 2004 reporting period. In filing the report, I have reviewed all of my available 2004 records as well as my recollection of benefits I may have received. I have provided my best estimate or an estimated price range for the value of the benefit received where I have no knowledge as to an exact amount. Further, in completing the LM-30 report, I have consulted with legal counsel and have obtained and have relied upon legal advice.

As you know, it was not until March of this year that the Department of Labor initially announced its intention to provide additional guidance to the reporting community concerning the LM-30 report, to seek systemic compliance with these requirements, and to apply standards adopted in 2005 retroactively to 2004 as a base year in that effort. Further, the Department since that time has continued to issue and revise its compliance advice including guidance regarding related benefit funds. My understanding is that the Department's guidance to date on LM-30 reporting is still changing and remains uncertain in various particulars.

It may be possible that a covered employer or business not listed on my LM-30 report for 2004 provided something of value as to which I have no documentary record nor any present specific recollection. In accordance with your guidance, it is my understanding that, in that circumstance, I am not required to take any further action.

This filing reflects my good faith effort to comply with the LM-30 reporting provisions and in doing so, I have relied upon the advice of legal counsel and the evolving guidance from the Department. The enclosed material represents my best recollection and estimate of all

U S Department of Labor  
August 15, 2005  
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lawfully reported benefits that I received in 2004 By reporting any items on this LM-30 Report, I do not concede that any of the items must be reported under 29 U S C 432, or that I did not receive such items within the provisions of 29 U S C 186(c)

Sincerely,

A handwritten signature in black ink, appearing to read "James Warren", written in a cursive style.

James Warren

Enclosure